Axiom Advisors

The future you choose.™

WHAT DOES AXIOM ADVISORS, LLC DO WITH YOUR PERSONAL INFORMATION

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This financial information can include, but is not limited to, the following:

- Social Security Number
- Investment Experience
- Assets
- Account Numbers
- Income
- Account Transactions
- Contact Information
- Account Balance

When you are no longer our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information, the reasons Axiom Advisors, LLC chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information:	Does Axiom Advisors, LLC Share?	Can you limit this sharing?
For our everyday business purposes – Such as to process your transaction, maintain your account(s),respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – To offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	No
For our Providers' everyday business purposes – Information about your transactions and experiences	Yes	No
For our Providers' everyday business purposes – Information about your creditworthiness	No	We Don't Share
For non-Providers to market to you – Only if your Rep/Advisor leaves Axiom Advisors, LLC, retires or sells his or her practice	Yes	Yes

Who We Are

Who is providing this notice?

This notice is being provided on behalf of Axiom Advisors, LLC, a Registered Investment Advisory Firm registered in the State of New Hampshire.

What We Do

How does Axiom Advisors, LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

Axiom Advisors, LLC trains its associates on the proper handling of personal information and requires companies that help provide services to you to protect the confidentially of personal information they receive.

How does Axiom Advisors, LLC collect my personal information?

We collect your personal information, for example, when you:

- Create a comprehensive financial plan
- Open an account
- Direct us to buy securities
- Give us your contact information
- Seek advice about your investments
- Enter into an investment advisory contract

Why can't I limit all sharing?

Federal law gives you the right to limit only:
• Sharing for Providers' everyday business purposes – information about your creditworthiness
• Providers from using your information to market to you
• Sharing for non-Providers to market to you
State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

What happens when I limit sharing for an account I hold jointly with someone else?

Your choice will apply to everyone on your account – unless you tell us otherwise.

Definitions

Providers—*Agencies, parties, affiliates and providers we may share your information with in order to establish accounts and other programs in the implementation of your financial plan. Providers may include but are not limited to:*

- *Cambridge Investment Research Advisors, Inc.*
- *Pershing, LLC.*
- *NFS*
- *Various Insurance and Investment Companies.*

In these instances, express written authorization will be obtained prior to disclosing identity information and will be done in compliance with State and Federal guidelines for secure transmission of such information.

Other Important Information

We also comply with more restrictive state laws to the extent that they apply. For example, if you reside in Vermont or California, we will automatically opt you out of sharing your information with non-Providers for marketing purposes unless you have provided Axiom Advisors, LLC with written authorization to opt you in to such sharing. It is not the policy of Axiom Advisors, LLC to share information directly with any other enterprise for the purpose of marketing.